# Corpus-based Dependency Analysis of Japanese Sentences using Verb Bunsetsu Transitivity

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#### Abstract

In a Japanese sentence, the structural ambiguity becomes very serious when it contains many verb bunsetsus. This paper proposes a parsing method based on possibilities of verb bunsetsu dependencies which are learned from a syntactically annotated corpus. By assuming the transitivity of verb bunsetsu dependencies, this method can avoid the data sparseness problem. We incorporate this corpus-based method with a rule-based parser KNP (Kurohashi and Nagao, 1994), and show its effectiveness.

# 1 Japanese Grammar and Task Definition

Let us first introduce Japanese grammar briefly. The structure of a Japanese sentence can be described well by the dependency relation between bunsetsus. A bunsetsu is a basic unit in Japanese language, consisting of one or more content words and the following zero or more function words. The English equivalent for a bunsetsu would be a small noun phrase, a prepositional phrase, and a verb phrase consisting of auxiliary verbs and a main verb, and so on. Japanese language is head-final, that is, a bunsetsu depends on another bunsetsu to its right (not necessarily the adjacent bunsetsu).

There are two classes of bunsetsu, noun bunsetsu (NB) and verb bunsetsu (VB), depending on whether its content word is a noun or a verb. The function word or the ending form of a bunsetsu shows whether it should modify (depend on) NB or VB. Consequently, there are four classes of a bunsetsu as follows:

#### VB-modifying VB

eg. kake(stem of 'write') - ba(ending which indicates if-clause), kaku(stem of 'write') - to(postposition which indicates when-clause)

# NB-modifying VB

eg. kai(stem of 'write') - ta(ending which indicates embedded sentence)

# VB-modifying NB

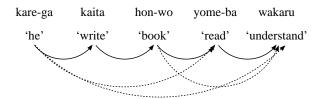
eg. kare('he') -ga(nominative case marker), hon('book') -wo(accusative case marker)

#### NB-modifying NB

eg. kare('he') - no('of')

The structural constraint on Japanese sentences is only the above distinction of four bunsetsu classes, which is too weak to detect a unique structure of a sentence, and often causes a lot of possible structures.

For example, the following example sentence has many possible dependency structures (solid arrows show correct dependencies; dotted arrows show other possibilities):



(Translation: If you read the book written by him, You can understand.)

A very powerful preference rule for this problem is that every bunsetsu depends on the nearest possible head. For example, all the dependency relations in the above example meet the rule. This rule, however, does not hold very well for VB-modifying VBs. A VB-modifying VB often depends on much further bunsetsu in a sentence. That is, the analysis of VB-modifying VBs' heads is the key in Japanese sentence parsing. This paper proposes a corpusbased method for handling this problem.

Let us define some terminologies, here. We call generalized bunsetsu in which only function words or ending forms are remained, a bunsetsu type. For example, a bunsetsu type of "yome-ba" is  $< \cdots ba >$ . A set of several bunsetsu types are called a bunsetsu class.

# 2 Corpus-based Analysis of Verb Bunsetsu Dependencies

Minami proposed a preference rule about VB dependencies (Minami, 1993). He classified several types into three classes depending on their strength, e.g.,  $< \cdots keredo>$  'although  $\cdots$ ' is classified into the strongest class,  $< \cdots tsutsu>$  'while  $\cdots$ ' is classified into the weakest class. Based on his VB classification, he claimed that a weaker VB cannot contain a stronger VB in its scope, that is, a stronger VB does not depend on a weaker VB.

Minami's claim seems true. However, his three-level VB classification was too coarse to handle a strength order among VBs precisely. Accordingly, KNP and Shirai et al. introduced more detailed classes and their strength order based on human intuition (Shirai et al., 1995). However, the more precise the rule system is, the more complicated it is, and the more expensive its maintenance is. This is an intrinsic problem in rule-based methods.

If a syntactically annotated corpus is available, it is not necessary to introduce VB classes, nor to rely on human intuition; the strength order among VB types can be learned directly. That is, if we find a VB type depends on another VB type in a syntactically annotated corpus, we can say that the former is weaker than the latter.

This paper proposes a parsing method based on VB types' dependencies which are learned from a syntactically annotated corpus. This method has the following two advantages:

- Because it doesn't classify VBs and doesn't determine the strength order manually, it doesn't have arbitrariness.
- Corpus-based methods intrinsically have

Table 1: Examples of VB types

examples	VB types
kaki-nagara	$< \cdots nagara >$
kaki- $tsutsu$	$< \cdots tsutsu>$
kaita- $nara$	$<\cdots nara>$
kaita NB	< NB-modifying $VB >$
kake- $ba$	$< \cdots ba >$
$kaita ext{-}keredo$	$<\cdots$ keredo $>$
$kaita \hbox{-} node$	$< \cdots node >$
kaita- $to$	$< \cdots to \ (quotation) >$
kaita.	$\langle EOS^a \rangle$

 $^a\mathrm{EOS}$  means a bunsetsu of the end of a sentence.

the data sparseness problem. Assuming the transitivity of VB type dependencies, this problem can be avoided.

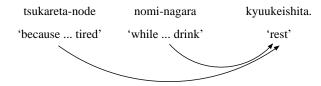
Although Minami didn't mention explicitly, some stronger VB can work as a barrier of dependency. Suppose a sentence like hon-wo 'book' yomi-nagara(A) 'read' gakkou-ni 'school' itta-to,(B) 'go' hanashita. 'say'. VB type  $< \cdots$ 'nagara'> (A) can depend on VB type  $< \cdots$ 'to' > (B), but type A cannot depend on the further VB than B actually. This means that VB type B is a barrier to VB type A. Such a information is an important cue to reduce the ambiguity of dependencies.

#### 2.1 Learning of VB Type Dependencies

First, VB types are labeled depending on function words or the ending forms. VB types are distinguished by whether a VB is followed by a comma or not. There are 277 VB types in total. Table 1 shows examples of VB types.

The dependencies learned from a corpus are between two VB types (Figure 1).

Then, we count dependencies between two VB types in a sentence. When a VB type  $(VB_{type1})$  goes over a latter VB type  $(VB_{type2})$ , depending on a further VB, we also count going over relation between the  $VB_{type1}$  and the  $VB_{type2}$ .



For example, from the above sentence, the following dependencies are learned.

dependent	head	depend/go over
$< \cdots$ node>	<eos></eos>	1/0
$< \cdots$ node>	$< \cdots$ nagara>	0/1
$< \cdots$ nagara>	<EOS $>$	1/0

# 2.2 Dependency Analysis Algorithm

After the learning process in the previous section, two VB types (the former one,  $VB_{typeA}$ , and the latter one,  $VB_{typeB}$ ) can have one of the following four dependency patterns:

#### depend:found and go-over:found

 $VB_{typeA}$  can both depend on and go over  $VB_{typeB}$ . In the sense of Minami's claim,  $VB_{typeA}$  is weaker than  $VB_{typeB}$ .

#### depend:found and go-over:not-found

In this case, we interpret that  $VB_{typeB}$  is a barrier to  $VB_{typeA}$ . That is, when a sentence is something like "...  $VB_{typeA}$  ...  $VB_{typeB}$  ...", we consider that  $VB_{typeA}$  depends on  $VB_{typeB}$  or closer possible head.

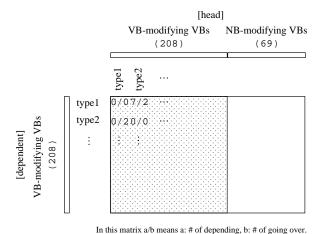


Figure 1: Relations between dependents and

heads

depend:not-found and go-over:found

In this case, we interpret that  $VB_{typeA}$  is stronger than  $VB_{typeB}$  in the sense of Minami's claim, and  $VB_{typeA}$  cannot depend on  $VB_{typeB}$ .

# depend:not-found and go-over:not-found

In this case, because of lack of data, dependency possibility between  $VB_{typeA}$  and  $VB_{typeB}$  cannot be estimated. We handle this case to be the same as depend:not-found and go-over:found, that is,  $VB_{typeA}$  is stronger than  $VB_{typeB}$ .

By using these criteria, we can reduce the ambiguity of VB-modifying VBs' dependencies.

The parsing system is based on the grammars of KNP, which is a dependency structure analyzer based on dependency grammar formalism. And the information learned from a training corpus is used to decide a head of a VB-modifying VB. If there are many head candidates, the nearest one is selected as a head.

# 2.3 Filling up and Cleaning up of VB Type Dependencies

There are two problems in the simple learning method described in Section 2.1:

- Noise (an exceptional dependency which holds in a special context, or an annotated error)
- Data sparseness

To solve these problems, we introduce two procedures below.

#### Filling up

In general, corpus-based methods have the data sparseness problem. To cope with this problem, we fill up VB type dependencies which doesn't exist in the training corpus by assuming the transitivity. That is, if there is a dependency between VB type X and VB type Y, and VB type Y and VB type Z, then we guess that VB type X can depend on VB type Z. In other words, we assume that we found a dependency between VB type X and VB type Z in the corpus.

#### Cleaning up

Noise in the corpus, an exceptional dependency or an annotated error, might cause side effects in parsing. Hence, we remove dependencies which make side effects by the following procedure.

First, the training corpus is parsed using the simply learned data. Counting the correct and erroneous numbers of each VB type dependency, if the ratio of the erroneous number against the correct number is bigger than some threshold, this dependency should be deleted from the learned data. A preliminary experiment shows that it is the most appropriate for the threshold to be set 1:1 (i.e., the erroneous number is equal to the correct number).

### 3 Experiments

#### 3.1 Methods of Experiments

We used the Kyoto University Corpus (Kurohashi and Nagao, 1998) for learning. This corpus consists of about 30,000 sentences of newspaper articles. It contains manually cleaned part-of-speech tags and dependency tags.

First, the corpus is divided into two segments, the training and the test corpus, in several ways like cross-validation. Then, VB types' dependencies are learned from the training corpus, the testing corpus is parsed by the algorithm in Section 4.2, and the parse results are compared with the manually tagged structures.

When calculating a parsing accuracy, we forget the last and the second last bunsetsu, since the last one does not depend on any bunsetsu (the head of a sentence) and the second last must depend on the last one. Then a parsing accuracy is calculated as follows:

$$accuracy = \frac{\# \text{ of } bunsetsus \text{ whose head is correct}}{\# \text{ of } bunsetsus}$$

#### 3.2 Experimental Result

Table 2 shows the total result. This method's parsing accuracy is a little bit better than KNP. In this method, we achieve the same accuracy, as we use KNP, without the preference rules between VBs.

Table 3 shows the number of learned dependencies and parsing accuracies of one test set, when the filling up and the cleaning up of VB type dependencies are repeated. There are 208

Table 2: Accuracies of dependencies - (learning twice)

	All	VB-modifying VBs
KNP	21764/24146	3534/4254
	(0.901)	(0.831)
our method	21772/24146	3551/4254
	(0.902)	(0.835)

Table 3: The number of learned dependencies and VB accuracy

	number	VB accuracy
		v
simple learning	2212	$1078/1337 \ (0.806)$
Cleaning up 1	1953	$1127/1337 \ (0.843)$
Filling up 1	8585	=
Cleaning up 2	8186	$1140/1337 \ (0.853)$
Filling up 2	16923	=
Cleaning up 3	16391	1140/1337 (0.853)
Filling up 3	18095	=
Cleaning up 4	17552	$1140/1337 \ (0.853)$

dependent VB types and 277 head VB types, accordingly there are 57616 kinds of possible VB type dependencies. In the simple learning, 2212 dependencies were learned, and their accuracy was 80.6%. Finally, 17552 dependencies were learned, and their accuracy became 85.3%. This table shows that repeating the filling up and the cleaning up of VB type dependencies was very effective.

#### 4 Related Work

There have been many research activities in corpus-based Japanese parsing, which handle VB dependencies as one of dependency relations and do not pay special attention to VB dependencies (Fujio and Matsumoto, 1998; Shirai et al., 1998).

Nishiokayama proposed a statistical method of dependency analysis of VBs (Nishiokayama et al., 1998). His method concentrated on VB dependencies, and constructed decision lists automatically using detailed features concerning VBs.

These conventional methods, however, did not take account of Minami's claim, that there is a strength order among VBs, and a stronger VB does not depend on a weaker VB. On the

other hand, we exploit this characteristic, that is, the VB transitivity, which enables us to avoid the data sparseness problem.

#### 5 Conclusion

This paper proposed a parsing method based on possibilities of VB type dependencies which are learned from an annotated corpus given syntactic structures. In this method, we obtained the same accuracy, as we use KNP, without the preference rules between VBs.

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